SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person[*]	2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name **and** Ticker or Trading Symbol
GLOECKNER JON	04/01/2021	IMPAC MORTGAGE HOLDINGS INC [IMH]

(Last) (First) (Middle)

19500 JAMBOREE RD,
(Street)

IRVINE CA 92612
(City) (State) (Zip)

4. Relationship of Reporting Person(s) to Issuer (Check all applicable)	5. If Amendment, Date of Original Filed (Month/Day/Year)
Director ___ 10% Owner ___ Officer (give title below) ___ X Other (specify below) ___ SVP Treasury	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person ___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	7,291[(1)]	D	

Table II - Derivative Securities Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Non-Qualified Stock Option (right to buy)	11/27/2013[(2)]	11/27/2022	Common Stock	3,666	13.81	D	
Non-Qualified Stock Option (right to buy)	07/23/2014[(2)]	07/23/2023	Common Stock	5,333	10.65	D	
Non-Qualified Stock Option (right to buy)	07/22/2015[(2)]	07/22/2024	Common Stock	5,000	5.39	D	
Non-Qualified Stock Option (right to buy)	07/22/2016[(2)]	07/22/2025	Common Stock	5,000	20.5	D	
Non-Qualified Stock Option (right to buy)	07/19/2017[(2)]	07/19/2026	Common Stock	4,000	17.4	D	
Non-Qualified Stock Option (right to buy)	08/30/2018[(2)]	08/30/2027	Common Stock	4,000	13.72	D	
Non-Qualified Stock Option (right to buy)	02/01/2020[(3)]	02/01/2029	Common Stock	7,500	3.59	D	

Explanation of Responses:

1. Includes 1,248 shares of common stock underlying Restricted Stock Units (RSUs) granted under the 2010 Omnibus Plan so that each RSU represents a contingent right to receive one share of common stock. The RSUs vest 1/2 a year for 2 years beginning on February 12, 2022. Includes 5,419 shares of common stock underlying Restricted Stock Units (RSUs) granted under the 2020 Equity Incentive Plan so that each RSU represents a contingent right to receive one share of common stock. The RSUs vest 1/3 a year for 3 years beginning on February 17, 2022.

2. These options are fully vested.

3. The awards vest annually in 1/3 increments beginning on February 1, 2020.

/s/ Jon Gloeckner 01/19/2022

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, *see* Instruction 5 (b)(v).